

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Matthew Conover

Assignee: Symantec Corporation

Title: GRANULAR ACCESS CONTROL METHOD AND SYSTEM

Serial No.: 10/829,591 Filed: April 21, 2004

Examiner: Unknown Group Art 2131

Unit:

Docket No.: SYMC1050

Monterey, CA August 10, 2004

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## <u>INFORMATION DISCLOSURE STATEMENT</u> UNDER §1.97(b)

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) wish to call the following documents (a copy of each is enclosed) to the attention of the Examiner:

## OTHER DOCUMENTS

1)	"Kernel-Mode Driver Architecture: Windows DDK - SeAccessCheck" June 6, 2003, 2 pages. [online]. Retrieved on November 21, 2003. Retrieved from the Internet: <url:http: en-="" hh="" k110_42wi.asp?frame="true" kmarch="" library="" msdn.microsoft.com="" us="">. Author unknown.</url:http:>
2)	"Kernel-Mode Driver Architecture: Windows DDK - ObDereferenceObject" October 6, 2003, 2 pages. [online]. Retrieved on April 7, 2004. Retrieved from the Internet: <url:http: en-="" hh="" k107_6vg2.asp?frame="true" kmarch="" library="" msdn.microsoft.com="" us="">. Author unknown.</url:http:>
3)	"Kernel-Mode Driver Architecture: Windows DDK - Object Management" June 6, 2003, 1 page. [online]. Retrieved on November 21, 2003. Retrieved from the Internet: <url:http: en-="" hh="" kmarch="" library="" msdn.microsoft.com="" objects_033b.asp?frame="true" us="">. Author unknown.</url:http:>

4)	"Kernel-Mode Driver Architecture: Windows DDK - Object Handles" June 6, 2003, 2 pages. [online]. Retrieved on November 21, 2003. Retrieved from the Internet: <url:http: en-="" hh="" kmarch="" library="" msdn.microsoft.com="" objects_5btz.asp?frame="true" us="">. Author unknown.</url:http:>
5)	"Kernel-Mode Driver Architecture: Windows DDK - ObReferenceObjectByHandle" June 6, 2003, 2 pages. [online]. Retrieved on November 21, 2003. Retrieved from the Internet: <url:http: en-="" hh="" k107_54qa.asp?frame="true" kmarch="" library="" msdn.microsoft.com="" us="">. Author unknown.</url:http:>
6)	"Kernel-Mode Driver Architecture: Windows DDK - Life Cycle of an Object" June 6, 2003, 2 pages. [online]. Retrieved on November 21, 2003. Retrieved from the Internet: <url:http: enus="" hh="" kmarch="" library="" msdn.microsoft.com="" objects_16av.asp?frame="true">. Author unknown.</url:http:>
7)	"Kernel-Mode Driver Architecture: Windows DDK - Object Names" June 6, 2003, 2 pages. [online]. Retrieved on November 21, 2003. Retrieved from the Internet: <url:http: en-="" hh="" kmarch="" library="" msdn.microsoft.com="" objects_9k13.asp?frame="true" us="">. Author unknown.</url:http:>
8)	Russinovich, Mark, "Inside NT's Object Manager", October 1997, 8 pages. [online]. Retrieved on April 5, 2004. Retrieved from the Internet: <url:http: articles="" print.cfm?articleid="299" www.winntmag.com="">.</url:http:>

A PTO form 1449 listing these documents is enclosed.

Citation of the above documents shall not be construed as:

- 1. an admission that the documents are necessarily prior art with respect to the instant invention;
- 2. a representation that a search has been made, other than as described above; or
- 3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in  $\S$  1.56(b).

The Commissioner is hereby authorized to charge any fees required for consideration of this Information Disclosure

Statement, and to credit any overpayment of fees to Deposit Account No. 50-0553.

## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 10, 2004

Attorney for Applicant(s)

August 10, 2004

Date of Signature

Respectfully submitted,

serge J. Hødgson

Attorney for Applicant(s)

Reg. No. 40,017 (831) 655-0880

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

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